

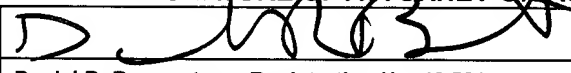
0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/900,485
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Filing Date	July 6, 2001
		First Named Inventor	Rod Cherkas et al.
		Confirmation No.	4159
		Group Art Unit Number	3624
		Examiner Name	Not yet known
Total Number of Pages in This Submission	4**	Attorney Docket Number	16319-05452

ENCLOSURES (check all that apply)

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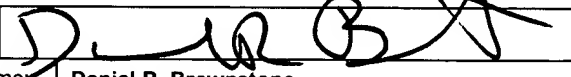
REMARKS: ** IDS references are not included in the total pages submitted

SIGNATURE OF ATTORNEY OR AGENT

Signature:		
Attorney/Reg. No.:	Daniel R. Brownstone, Registration No. 46,581	Dated: 23 Aug 02

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3624 #4
PATENT 9-096



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APPLICANT(S): Rod Cherkas et al.

APPLICATION NO.: 09/900,485

FILING DATE: July 6, 2001

TITLE: Automated User Specific Tax Analysis of Investment Transactions
Using a Personal Tax Profile

EXAMINER: Not yet known

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GROUP ART UNIT: 3624

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Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449/PTO-8A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

PATENT


This Information Disclosure Statement is being filed before the mailing date of a first office action on the merits.

Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
ROD A. CHERKAS, DEVIN W. BREISE,
JEFFREY H. BROWN, ELIZABETH S.
CABRERA

Dated: 23 Aug 2002

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Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application No.	09/900,485
				Filing Date	July 6, 2001
				First Named Inventor	Rod Cherkas et al.
				Art Unit	3624
				Examiner Name	Not yet known
Sheet	1	of	1	Attorney Docket Number	16319-05452

U.S. PATENT DOCUMENTS				
Examiner Initials*	Cite No. ¹	Document No. Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US-6,161,098	12/12/2000	Wallman
		US-		
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FOREIGN PATENT DOCUMENTS					
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OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS					
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